

NUVAMA WEALTH AND INVESTMENT LIMITED
(Formerly known as Edelweiss Broking Limited)

801-804, Wing A, Building No. 3, Inspire BKC,
 G Block, Bandra Kurla Complex, Bandra East,
 Mumbai – 400 051

Date: _____

Sub : Application for dealing/trading in additional segment

I/We have a Trading account with Nuvama Wealth and Investment Ltd We hereby declare and confirm that I/We wish to deal/trade in the additional Segments provided by you and furnish the following details:

Full Name of Client: _____

PAN of the Client: _____ UCC/Client Code: _____

I/We hereby confirm having read and understood the contents of the Rights and Obligations document(s), Risk Disclosure Document, Do's and Don'ts, all voluntary/non mandatory documents. I/We do hereby agree to be bound by such provisions as outlined in these documents. I have also been informed that the standard set of documents has been displayed for Information on Member's designated website.

I/We give my/our consent of my/our Trading Preferences as under with agreed brokerage rates as specified below: ***Tick whichever is applicable*** :-

A. Equity

<u>EXCHANGE</u>	<u>SEGMENT</u>	<u>1ST LEG</u>		<u>2ND LEG</u>	
		<u>PERCENTAGE</u>	<u>MINIMUM</u>	<u>PERCENTAGE</u>	<u>MINIMUM</u>
NSE & BSE	Equity Derivatives (Futures)				
NSE & BSE	Currency Derivatives (Futures)				

Options Rate for Exchange:

Brokerage on Equity Derivatives Options Contract: _____ Rs. per Lot or _____%

Brokerage on Currency Derivative Options Contract _____ Rs. per Lot or _____%

Client's Name: _____

Client's Signature: _____

B. Commodity

Brokerage Rate for trading in Commodity Derivatives: MCX and NCDEX

COMMODITY FUTURES				
TRADING	1ST LEG		2ND LEG	
TYPE	PERCENTAGE	MINIMUM	PERCENTAGE	MINIMUM
INTRADAY	%	(Ps.)	%	(Ps.)
SETTLEMENT			%	(Ps.)
DELIVERY	%	(Ps.)		

COMMODITY OPTIONS				
TRADING	1ST LEG		2ND LEG	
TYPE	PERCENTAGE	MINIMUM	PERCENTAGE	MINIMUM
INTRADAY	%	(Ps.)	%	(Ps.)
SETTLEMENT			%	(Ps.)
DELIVERY	%	(Ps.)		

Client's Name: _____ Client's Signature: _____

For office Use Only

Ledger Balance	Demat Holding Balance	Total Asset

Proof Attached & Checked:

- | | |
|--|---|
| 1. ITA Proof | 4. Salary Slip |
| 2. Demat Holding | 5. Net-worth Certificate |
| 3. Month Bank Statement (not older than 3 month) | 6. Self-Declaration with relevant supporting docs |

To,

**NUVAMA WEALTH AND INVESTMENT LIMITED
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Mumbai – 400 051

Subject: Client wise commodity wise categorization

Dear Sir,

Pursuant to SEBI Circular _____ MCX & NCDEX have issued circulars _____ - requiring all commodity clients, trading in commodity segment to disclose the category they fall into with respect to each commodity traded on exchange platform/s, please find below commodity wise categorization in which I/we is /are trading/dealing in commodities market.

Category	Farmer Producer Organization/ Farmer	Value Chain Participant/ Hedger	Proprietary trader	Domestic financial institutional investor	Foreign participant	Others
MCX						
ALUMINIUM						
BRASS						
CARDAMOM						
CASTORSEED						
COPPER						
COTTON						
CRUPALMOIL						
CRUDE OIL						
GOLD						
LEAD						
MENTHAOIL						
NATURALGAS						
NICKEL						
PEPPER						
RBDPALM						
SILVER						
ZINC						
KAPAS						
MCXBULDEX						
MCXMETLDEX						

RUBBER						
NCDEX						
BAJRA (BAJRA)						
BARLEY (BARLEYJPR)						
CASTORSEEDNEW (CASTOR)						
CHANA (CHANA)						
CORIANDER (DHANIYA)						
COTTON29MM (COTTON)						
COTTONSEEDOILCAKEAKOLA (COCUDAKL)						
CRUDE_PALM_OIL (CPO)						
GUAR_SEED10 (GUARSEED10)						
GUARGUM_5MT (GUARGUM5)						
GUR (GUR)						
HIPROSOYBEANMEAL (SBMEALIDR)						
JEERA (JEERAUNJHA)						
KAPASNEW (KAPAS)						
MAIZE (MAIZE)						
MOONG (MOONG)						
NCDEX FUTURE INDEX (AGRIDEX)						
PADDY_BASMATI (PADYPB1121)						
RAPE_MUSTARD_SEEDS (RMSEED)						
SESAMESEED (SESAMESEED)						
SOY_OIL (SYOREF)						
SOYABEAN (SYBEANIDR)						
STEELLONG (STEEL)						
TURMERIC (TMCGRNZN)						
WHEATKOTA (WHEATFAQ)						

Client Name:

Client code:

Signature of Client/Authorised Signatory

Date:

Place:

Note: Below is the description/meaning of the said categories which you are requested to go through and tick on the relevant category against each commodity in the table below to declare the client category you belong to against respective commodity.

- i. **Farmers/FPOs:** It includes participants such as farmers, farmers' co-operatives, Farmers Producers Organisations (FPOs) and such entities of like nature.
 - ii. **Value chain participants (VCPs):** It includes participants such as Processors, Commercial users as Dal and Flour Millers, Importers, Exporters, Physical Market Traders, Stockists, Cash & Carry participants, Produces, SMEs/MSMEs & Wholesalers etc., but exclude farmers/FPOs.
 - iii. **Proprietary traders:** It includes the members of stock exchanges trading in their proprietary account.
 - iv. **Domestic financial institutional investors:** It includes participants such as Mutual Funds (MFs), Portfolio Managers, Alternative Investment Funds (AIFs), Banks, Insurance Companies and Pension Funds etc., which are allowed to trade in commodity derivatives.
 - v. **Foreign participants:** It includes participants such as Eligible Foreign Entities (EFEs), NRIs etc. which are allowed to trade in commodity derivatives markets.
 - vi. **Others:** All other participants which cannot be classified in the above categories.
- vii. Not Applicable (NA) can be put for the commodity where client does not trades/deals with.